



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Re U.S. Patent Application of: )  
Shibamura *et al.* )  
Serial No.: 09/704,968 ) Examiner: E. Huang  
Filed: November 2, 2000 ) Group Art Unit: 1625  
For: BATHOPHENANTHROLINE )  
COMPOUND AND PROCESS )  
FOR PREPARING SAME )  
Docket No. 9792909-4689

**AMENDMENT "D" - Response to 14 Nov. 2002 Office Action**

In response to the Office Action dated 14 Nov. 2002, the applicants respond as follows.